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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	CH2M.04/CIP
First Inventor	LINDSAY
Title	Apparatus And Method For Efficient Recovery Of Volatile Organic Compounds
Express Mail Label No.	ET786892482US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 13]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration [Total Pages]
 - a. ☒ Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
 - b. ☐ (for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☒ Assignee: CH2M Hill, Inc.
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 09/588,152Prior application information: Examiner SMITH, D. Group Art Unit: 1724For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label 25871 or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Thomas D. Bratschun

Registration No. (Attorney/Agent)

32,966

Signature

Date

12/13/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 864.00)

Complete if Known

Application Number	09/
Filing Date	December 13, 2001
First Named Inventor	LINDSAY
Examiner Name	SMITH, D.
Group Art Unit	1724
Attorney Docket No.	CH2M.04/CIP

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-5117
Deposit Account Name Swanson & Bratschun, LLC

- ☒ The Commissioner is hereby authorized to charge any underpayment of fees to the referenced deposit account.

☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

	Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee				740.00
106	320	206	160	Design filing fee				
107	490	207	245	Plant filing fee				
108	710	208	355	Reissue filing fee				
114	150	214	75	Provisional filing fee				

SUBTOTAL (1) (\$ 740.00)

2. EXTRA CLAIM FEES

	Total Claims	Extra Claims	Fee from below	Fee Paid
	11	-20** = 0	X 0	= 0
Independent Claims	4	-3** = 1	X 84	= 84
Multiple Dependent			X 0	= 0

	Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20			
102	80	202	40	Independent claims in excess of 3			
104	270	204	135	Multiple dependent claim, if not paid			
109	80	209	40	** Reissue independent claims over original patent			
110	18	210	9	** Reissue claims in excess of 20 and over original patent			

SUBTOTAL (2) (\$ 84.00)

**for number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)


	Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath				
127	50	227	25	Surcharge - late provisional filing fee or cover sheet				
139	130	139	130	Non-English specification				
147	2,520	147	2,520	For filing a request for ex parte reexamination				
112	920*	112	920*	Requesting publication of SIR prior to Examiner action				
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action				
115	110	215	55	Extension for reply within first month				
116	390	216	195	Extension for reply within second month				
117	890	217	445	Extension for reply within third month				
118	1,390	218	695	Extension for reply within fourth month				
128	1,890	228	945	Extension for reply within fifth month				
119	310	219	155	Notice of Appeal				
120	310	220	155	Filing a brief in support of an appeal				
121	270	221	135	Request for oral hearing				
138	1,510	138	1,510	Petition to institute a public use proceeding				
140	110	240	55	Petition to revive - unavoidable				
141	1,240	241	620	Petition to revive - unintentional				
142	1,240	242	620	Utility issue fee (or reissue)				
143	440	243	220	Design issue fee				
144	600	244	300	Plant issue fee				
122	130	122	130	Petitions to the Commissioner				
123	50	123	50	Processing fee under 37 CFR 1.17(q)				
126	180	126	180	Submission of Information Disclosure Stmt				
581	40	581	40	Recording each patent assignment per property (times number of properties)				40.00
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))				
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))				
179	710	279	355	Request for Continued Examination (RCE)				
169	900	169	900	Request for expedited examination of a design application				

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40.00)

SUBMITTED BY

Name (Print/Type)	Thomas D. Bratschun	Registration No. (Attorney/Agent)	32,966	Telephone	303-268-0066
Signature		Date	12/13/01		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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